

The combined relaxation and non-relativistic approximation of the drift-diffusion model

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ABSTRACT: In this article, we study the combined relaxation and non-relativistic limit for compressible Euler-Maxwell equations for plasma. For the initial data in equilibrium, the convergences of solutions of compressible Euler-Maxwell equations to the solutions of drift-diffusion model are justified rigorously.

KEYWORDS: Euler-Maxwell equations, asymptotic limit

INTRODUCTION

Recently, much attention has been paid to the study of the non-relativistic limit or the short relaxation limit. In this regime, a complicated system may asymptotically be replaced by a much simpler hyperbolic or parabolic system, the behaviour of the latter is either already well understood or easier to analyse. This work concerns the one fluid version of Euler-Maxwell system for semiconductors or plasmas^{1,2}:

$$\partial_t n + \operatorname{div}(nu) = 0, \quad (1)$$

$$\begin{aligned} \partial_t(nu) + \operatorname{div}(nu \otimes u) + \nabla p(n) \\ = -n(E + \gamma u \times B) - \frac{nu}{\tau}, \end{aligned} \quad (2)$$

$$\epsilon \gamma \partial_t E - \nabla \times B = \gamma nu, \quad \gamma \partial_t B + \nabla \times E = 0, \quad (3)$$

$$\epsilon \operatorname{div} E = b(x) - n, \quad \operatorname{div} B = 0, \quad (4)$$

with the initial conditions which are periodic in x :

$$(n, u, E, B)(x, 0) = (n_0, u_0, E_0, B_0). \quad (5)$$

The unknown functions are: n , the density of electrons; $u \in \mathbb{R}^3$, the average velocity of the electrons; $E \in \mathbb{R}^3$, the electric field; $B \in \mathbb{R}^3$ the magnetic field. They are functions of a 3-dimensional position vector $x \in \mathcal{T}^3$ and of the time $t > 0$, where $\mathcal{T}^3 = (\mathbb{R}/2\pi\mathbb{Z})^3$ is the 3-dimensional torus. $b(x)$ is the uniform density of ions, and the electron gas is supposed to be barotropic, the pressure being given by $p(n)$ which is supposed to be smooth and strictly increasing for $n > 0$. Then for smooth solutions of the Euler-Maxwell system (1)-(5) with $n > 0$, equation

(2) is equivalent to

$$\partial_t u + (u \cdot \nabla)u + \nabla h(n) = -E - \gamma u \times B - \frac{u}{\tau}, \quad (6)$$

where the enthalpy $h(n)$ is defined by

$$h'(n) = \frac{p'(n)}{n}.$$

The dimensionless parameters $\epsilon > 0$, $\gamma > 0$ and $\tau > 0$ can be chosen independently on each other, according to the desired scaling. Physically, ϵ stands for the scaled Debye length which is related to the Coulomb screening of the charged particles. τ stands for the momentum relaxation time which describes in a very rough manner the damping effect of the lattice on the charge carriers. And γ can be chosen to be proportional $\frac{1}{c}$ where $c = (\epsilon_0 \nu_0)^{-\frac{1}{2}}$ is the speed of light, with ϵ_0 and ν_0 being the vacuum permittivity and permeability. These parameters are small compared to the physical size of the known variables. Thus regarding ϵ , γ and τ as singular perturbation parameters, we can study the limits in the system (1)-(4) as these parameters tend to zero. The limit $\epsilon \rightarrow 0$ leads to $n = b(x)$, which is the quasi-neutrality of the plasma. Hence $\epsilon \rightarrow 0$ is called the quasi-neutral limit. Also, $\tau \rightarrow 0$ and $\gamma \rightarrow 0$ are physically called the zero-relaxation limit and the non-relativistic limit, respectively.

First, let us recall a few results related to (1)-(4). There have been numerical simulations^{3,4}, but the only rigorous study appears to be that made by Chen etc. in Ref. 5, where a global weak solution is

proved in one spatial dimension by using compensated compactness. In Ref. 6, Jerome establish a local smooth solution theory for the Cauchy problem of compressible Hydrodynamic-Maxwell systems by the modification of the classical semigroup-resolvent approach of Kato. The convergence of one-fluid (isentropic) Euler-Maxwell system to compressible Euler-Poisson system was proven via the non-relativistic limit⁷. The cases of two-fluid and non-isentropic are studied by Yang and Wang in Refs. 8, 9. The paper¹⁰ proved that the combined non-relativistic and quasi-neutral limit of the (isentropic) Euler-Maxwell is the incompressible Euler equations. We also refer to Refs. 11, 12 and Refs. 13, 14 where the Euler equations and Euler-Poisson system are studied, respectively.

To the author's best knowledge, there is no result on the combined non-relativistic and relaxation limit of the above model. In this article we are interested in the non-relativistic regime and small relaxation-time analysis under the conditions $\epsilon = O(1)$. In the following, we are going to motivate the above combined limit. It is known that the relaxation limit $\tau \rightarrow 0$ —for fixed γ —in the Euler-Maxwell model gives the so-called drift diffusion model for plasmas or semiconductors¹⁵. Likewise, it is known that the relaxation limit $\gamma \rightarrow 0$ —for fixed τ —in the Euler-Maxwell model gives the Euler-Poisson model⁷. But we formally arrive that the above combined limit in the Euler-Maxwell model still gives the drift diffusion model. In this present paper, our result will show that physically the relaxation regime plays a decisive role in the combined limit. Without loss of generality, we assume $\epsilon = 1$. For simplifying the notation, we assume that $\tau = \gamma$. To analyse the combined non-relativistic relaxation-time limits, we define the scaled variables as in Ref. 16:

$$(x, t) \rightarrow (x, \frac{t}{\tau}). \quad (7)$$

In this case, the Euler-Maxwell system (1)-(4) is rewritten as:

$$\begin{cases} \tau \partial_t n + \operatorname{div}(nu) = 0, \\ \tau \partial_t(nu) + \operatorname{div}(nu \otimes u) + \nabla p(n) \\ \quad = -nE - \gamma nu \times B - \frac{nu}{\tau}, \\ \gamma \tau \partial_t E - \nabla \times B = \gamma nu, \\ \operatorname{div} E = b(x) - n, \\ \gamma \tau \partial_t B + \nabla \times E = 0, \quad \operatorname{div} B = 0. \end{cases} \quad (8)$$

From the second equation in (8), we can get, using the Maxwell iteration

$$\begin{aligned} nu &= -\tau \nabla p(n) - \tau n(E + \gamma u \times B) \\ &\quad - \tau \operatorname{div}(nu \otimes u) - \tau^2 \partial_t(nu) \\ &= -\tau \nabla p(n) - \tau nE + O(\tau^2). \end{aligned} \quad (9)$$

Substituting (9) into the first equation of (8), and taking the formal limit as $\tau \rightarrow 0$ and $\gamma \rightarrow 0$ simultaneously, we obtain the well-known one-fluid drift-diffusion model for semiconductors or plasma

$$\begin{cases} \partial_t \mathcal{N} = \Delta p(\mathcal{N}) + \operatorname{div}(\mathcal{N}\mathcal{E}), \\ \nabla \times \mathcal{E} = 0, \quad \operatorname{div} \mathcal{E} = b(x) - \mathcal{N}. \end{cases} \quad (10)$$

and the linear curl-div equation as follows

$$\nabla \times \mathcal{B} = 0, \quad \operatorname{div} \mathcal{B} = 0. \quad (11)$$

This is because the equation $\nabla \times \mathcal{E} = 0$ implies that the electric field is the gradient of some potential function, i.e., $E = \nabla \phi$. So we can obtain $\mathcal{E} = \nabla \Delta^{-1}(b(x) - \mathcal{N})$ from equation $\operatorname{div} \mathcal{E} = b(x) - \mathcal{N}$. Here, the operator $\nabla \Delta^{-1}$ is the mapping from $L(\mathcal{T}^3)$ into $L(\mathcal{T}^3)$. Thus equations (10) is a parabolic-elliptic system, since $p(\mathcal{N})$ is strictly increasing. For the curl-div equations (11), we can take $\mathcal{B} = 0$ in the class $\mathbf{m}(\mathcal{B}) = \int_{\mathcal{T}^3} \mathcal{B} dx = 0$.

We assume that the drift-diffusion model (10) and the curl-div equations (11) have a smooth solution $(\mathcal{N}, \mathcal{E}, \mathcal{B})$ with initial data

$$(\mathcal{N}(x, 0), \mathcal{E}(x, 0), \mathcal{B}(x, 0)) = (n_0(x), E_0(x), 0).$$

Then, we construct a formal approximation

$$(n_\tau, u_\tau, E_\tau, B_\tau) = (\mathcal{N}, -\tau \mathcal{E} - \tau \nabla h(\mathcal{N}), \mathcal{E}, \mathcal{B}) \quad (12)$$

for the solution $(n^\tau, u^\tau, E^\tau, B^\tau)$ of (8) with initial data

$$(n^\tau, u^\tau)|_{t=0} = (n_0(x), -E_0(x) - \nabla h(n_0)), \quad (13)$$

where E_0 satisfies the following compatibility condition:

$$\operatorname{div} E_0 = b(x) - n_0. \quad (14)$$

Then we use energy methods to prove that $(n^\tau, u^\tau, E^\tau, B^\tau)$ exists in the finite time interval and can be expressed as

$$(n^\tau, u^\tau, E^\tau, B^\tau) = (n_\tau, u_\tau, E_\tau, B_\tau) + O(\tau^2) \quad (15)$$

in the Sobolev space $H^s(\mathbb{T}^3)$.

However, due to the adjunction of non-relativistic regime, the proof to rigid justification becomes more complex and difficult. Because of this effect, some key estimates in Ref. 15 have to be reconsidered, and our analysis depends heavily on the special structure of the Maxwell part of compressible Euler-Maxwell system.

FORMAL APPROXIMATIONS

In this section, we give a construction of the approximation $(n_\tau, u_\tau, E_\tau, B_\tau)$ in the convergence assumption for the Euler-Maxwell system (8). Let $(\mathcal{N}, \mathcal{E})$ solve the IVP of the unipolar drift-diffusion model (10). Inspired by the Maxwell iteration described in the introduction, we construct a formal approximation solution:

$$(n_\tau, u_\tau) = (\mathcal{N}, -\tau\mathcal{E} - \tau\nabla h(\mathcal{N})). \quad (16)$$

It is easy to show that the approximation solution satisfies the following equations

$$\begin{cases} \tau\partial_t n_\tau + u_\tau \cdot \nabla n_\tau + n_\tau \operatorname{div} u_\tau = 0, \\ \tau\partial_t u_\tau + (u_\tau \cdot \nabla)u_\tau + \nabla h(n_\tau) \\ \quad = -E_\tau - \frac{u_\tau}{\tau} + \tau^2 \mathcal{S}, \\ \tau\partial_t E_\tau = n_\tau u_\tau, \\ B_\tau = 0, \end{cases} \quad (17)$$

where the residue

$$\begin{aligned} \mathcal{S} &= \frac{\tau\partial_t u_\tau + (u_\tau \cdot \nabla)u_\tau}{\tau^2} \\ &= -\partial_t(\mathcal{E} + \nabla h(\mathcal{N})) \\ &\quad + ((\mathcal{E} + \nabla h(\mathcal{N})) \cdot \nabla)(\mathcal{E} + \nabla h(\mathcal{N})). \end{aligned} \quad (18)$$

Regarding $(n_\tau, u_\tau, E_\tau, B_\tau)$, we have the following regularity result which is based on the well-known calculus inequalities in Sobolev spaces¹⁷.

Lemma 1 *Let $s \geq 3$ be an integer. Assume that $h(n) \in C^\infty(0, \infty)$ satisfies $h(n) > 0$. If*

$$n \in C([0, T_1], H^s) \cap C^1([0, T_1], H^{s-1})$$

has a positive lower bound, then so does $h = h(n)$. Moreover, if $b(x) \in H^{s-1}$, then

$$u_\tau \in C([0, T_*], H^{s-1}) \cap C^1([0, T_1], H^{s-2}),$$

$$E_\tau \in C([0, T_1], H^s) \cap C^1([0, T_1], H^{s-1})$$

and

$$\mathcal{S} \in C([0, T_1], H^{s-1}).$$

DERIVATION OF ERROR EQUATIONS AND LOCAL EXISTENCE

Let $(n^\tau, u^\tau, E^\tau, B^\tau)$ be the unknown solution to the problem (8) with the initial data

$$\begin{aligned} (n^\tau, u^\tau, E^\tau, B^\tau)(x, 0) \\ = (n_0(x), -\tau E_0 - \tau \nabla(h(n_0)), E_0, 0) \end{aligned} \quad (19)$$

and denote by

$$(N^\tau, U^\tau, F^\tau, G^\tau) = (n^\tau - n_\tau, u^\tau - u_\tau, E^\tau - E_\tau, B^\tau), \quad (20)$$

then, from equations (8) and equations (10), it follows that the error $(N^\tau, U^\tau, F^\tau, G^\tau)$ satisfies

$$\begin{cases} \tau\partial_t N^\tau + u^\tau \cdot \nabla N^\tau + n^\tau \operatorname{div} U^\tau \\ \quad = -(U^\tau \cdot \nabla)n_\tau + N^\tau \operatorname{div} u_\tau, \\ \tau\partial_t U^\tau + (u^\tau \cdot \nabla)U^\tau + (U^\tau \cdot \nabla)u_\tau \\ \quad + h'(n^\tau)\nabla N^\tau \\ \quad + (h'(n^\tau) - h'(n_\tau))\nabla n_\tau + F^\tau \\ \quad + \gamma u^\tau \times G^\tau = -\frac{U^\tau}{\tau} - \tau^2 \mathcal{S}, \\ \gamma\tau\partial_t F^\tau - \nabla \times G^\tau = \gamma(n^\tau U^\tau + N^\tau u_\tau), \\ \gamma\tau\partial_t G^\tau + \nabla \times F^\tau = 0, \\ V^\tau|_{t=0} = V_0^\tau, \end{cases} \quad (21)$$

where, $n^\tau = N^\tau + n_\tau$ and $u^\tau = U^\tau + u_\tau$.

Set

$$V^\tau = \begin{pmatrix} N^\tau \\ U^\tau \\ F^\tau \\ G^\tau \end{pmatrix}, V_0^\tau = \begin{pmatrix} N_0^\tau \\ U_0^\tau \\ F_0^\tau \\ G_0^\tau \end{pmatrix},$$

$$D_0^\tau = \begin{pmatrix} \tau I_{4 \times 4} & 0 \\ 0 & \gamma\tau I_{6 \times 6} \end{pmatrix},$$

$$A_j(V^\tau) = \begin{pmatrix} (u^\tau)_j & n^\tau e_j^T & 0 & 0 \\ h'(n^\tau)e_j & (u^\tau)_j I_{3 \times 3} & 0 & 0 \\ 0 & 0 & 0 & C_j \\ 0 & 0 & C_j^T & 0 \end{pmatrix},$$

$$H_1(V^\tau)$$

$$= \begin{pmatrix} U^\tau \cdot \nabla n_\tau + N^\tau \operatorname{div} u_\tau \\ (U^\tau \cdot \nabla)u_\tau + (h'(n^\tau) - h'(n_\tau))\nabla n_\tau \\ 0 \\ 0 \end{pmatrix},$$

$$H_2(V^\tau) = \begin{pmatrix} 0 \\ F^\tau \\ 0 \\ 0 \end{pmatrix},$$

$$H_3(V^\tau) = \begin{pmatrix} 0 \\ -u^\tau \times G^\tau \\ n^\tau U^\tau + N^\tau u_\tau \\ 0 \end{pmatrix},$$

and

$$H_4(V^\tau) = \begin{pmatrix} 0 \\ U^\tau \\ 0 \\ 0 \end{pmatrix}, H_5(V^\tau) = \begin{pmatrix} 0 \\ \mathcal{S} \\ 0 \\ 0 \end{pmatrix},$$

where (e_1, e_2, e_3) is the canonical base of \mathbb{R}^3 , $I_{d \times d}$ ($d = 3, 4, 6$) is a d order unit matrix, y_i denotes

the i th component of $y \in \mathbb{R}^3$. each $C_j (j = 1, 2, 3)$ is a constant skew matrix, specifically

$$C_1 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{pmatrix}, \quad C_2 = \begin{pmatrix} 0 & 0 & -1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix},$$

$$C_3 = \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

Thus problem (21) for the unknown V^τ can be rewritten as

$$\begin{cases} D_0^\tau \partial_t V^\tau + \sum_{i=1}^3 A_j(V^\tau) \partial_{x_j} V^\tau \\ = -H_1(V^\tau) - H_2(V^\tau) + \gamma H_3(V^\tau) \\ - \frac{1}{\tau} H_4(V^\tau) - \tau^2 H_5(V^\tau), \\ V^\tau|_{t=0} = V_0^\tau. \end{cases} \quad (22)$$

It is not difficult to see that the equations of V^τ in (21) are symmetrizable hyperbolic, i.e., if we introduce

$$A_0(N^\tau) = \begin{pmatrix} h'(n^\tau) & 0 & 0 \\ 0 & n^\tau I_{3 \times 3} & 0 \\ 0 & 0 & I_{6 \times 6} \end{pmatrix}$$

which is positively definite when $N^\tau + n_\tau \geq \widetilde{C}_0 > 0$ for $0 < \tau \leq \tau_0 \ll 1$. Thus by the classical existence theory of the local smooth solutions of the symmetrizable hyperbolic system, see Ref. 17, we have

Proposition 1 *Let $M > 0$ and V_0^τ satisfy $V_0^\tau \in (H^s(\mathcal{T}^3))$, $s \geq 3$ and $\|N_0^\tau\|_{H^s(\mathcal{T}^3)} \leq \lambda$ for any given $\lambda > 0$ (to be chosen sufficiently small so that $M\lambda C_s \leq \frac{1}{2}$, where C_s is Sobolev's embedding constant). Then for any fixed τ or γ there is a maximal existence time $0 < T_\tau \leq \infty$ and a unique smooth solution $V^\tau \in \bigcap_{l=0}^1 C^l([0, T_\tau]; H^{s-l}(\mathcal{T}^3))$ to the error system (21), well-defined on the interval $[0, T_\tau)$. Moreover, if $T_\tau < \infty$ and there exists a positive constant M satisfying $C_s M \lambda < \frac{1}{2}$ such that*

$$\sup_{0 \leq t \leq T_\tau^-} \|N^\tau(t)\|_{H^s(\mathcal{T}^3)} = M\lambda$$

holds, then one gets either

$$\lim_{t \rightarrow T_\tau^-} \|N^\tau(t)\|_{H^s(\mathcal{T}^3)} = M\lambda$$

or

$$\lim_{t \rightarrow T_\tau^-} \|(U^\tau(t), F^\tau(t), G^\tau(t))\|_{H^s(\mathcal{T}^3)} = \infty.$$

Noting that the constant matrix D_0^τ does not depend upon τ in the way of $A_0(C + \tau V^\tau)$, where C is the constant vector. Hence the singular limit theory for symmetrizable hyperbolic systems developed by Klainerman and Majda¹⁸ or extended further by Schochet¹⁹ cannot be applied here to obtain the uniformly a priori estimates of the solution V^τ with respect to τ . This assumption is obviously not satisfied by our present system (8). Usually it is difficult to establish uniformly a priori estimates on the error F^τ of the electric field with respect to due to the singular structure of the matrix D_0^τ .

Now we begin to justify the convergence of compressible Euler-Maxwell equations to drift-diffusion model when $\gamma \rightarrow 0$ and $\tau \rightarrow 0$ simultaneity. To this end, by the local existence theory and extension method, it suffices to obtain the uniform estimates of the smooth solutions to (21) with respect to the parameter τ so as to guarantee $T_\tau \geq T_0$ for any given $T_0 < T_1$.

In the following, the repeated index for the sum, and (\cdot, \cdot) stands for the L^2 inner product of the two scalar or vector functions in \mathcal{T}^3 . Also, we denote

$$\|\cdot\| = \|\cdot\|_{L^2(\mathcal{T}^3)}, \quad \|\cdot\|_s = \|\cdot\|_{H^s(\mathcal{T}^3)}, \quad s \in \mathbb{N}^*,$$

$$\|V^\tau\|_{0,T} = \sup_{0 \leq t \leq T} \|V^\tau(t)\|,$$

$$\|V^\tau\|_{s,T} = \sup_{0 \leq t \leq T} \|V^\tau(t)\|_s, \quad s \in \mathbb{N}^*,$$

where $V^\tau = (N^\tau, U^\tau, F^\tau, G^\tau)^T$.

Let T_1 be the maximal existence time of the solution given in Lemma 1. In the following, assuming the conditions of Lemma 1, we establish a priori estimates by the elaborate energy methods in several steps.

Denote by $T = T^\tau = \min\{T_1, T_\tau\}$, and by $C > 0$ a constant which depends upon T and but does not depend upon M, T , and τ .

THE MAIN RESULT

Having constructed the formal approximation $(n_\tau, u_\tau, E_\tau, B_\tau)$ for the periodic IVP of the compressible Euler-Maxwell system (8), we prove here the validity of the approximation under some regularity assumptions on the given data and an existence result for the IVP. The main result of this paper is stated as follows.

Theorem 1 *Let $s \geq 3, s \in \mathbb{N}$. Assume $p \in C^\infty(0, \infty)$, $p'(n) > 0$, $b(x) \in H^s(\mathcal{T}^3)$ and that the drift-diffusion model (10) has a solution $(n_\tau, E_\tau) \in C([0, T_1], H^{s+2}) \cap C^1([0, T_1], H^{s+1})$ with n being bounded away from zero. Then, for sufficiently small*

$0 < \tau < \tau_0 \ll 1$, the Euler-Maxwell equations (8) with periodic initial data (19) has a unique solution $(n^\tau, u^\tau, E^\tau, B^\tau)$ satisfying $(n^\tau, u^\tau, E^\tau, B^\tau) \in C([0, T], H^s)$, and there exists a constant $C_0 > 0$, independent of τ but dependent on $T < \infty$, such that

$$\|(n^\tau - n_\tau, u^\tau - u_\tau, E^\tau - E_\tau, B^\tau)(t)\|_{s,T} \leq C_0 \tau.$$

Proof: From the equations in (22) it follows that the error satisfies

$$\begin{aligned} \partial_t V^\tau + (D_0^\tau)^{-1} \sum_{j=1}^3 A_j(V^\tau) \partial_{x_j} V^\tau \\ = (D_0^\tau)^{-1} \sum_{i=1}^5 \mathcal{H}_i, \end{aligned} \quad (23)$$

where $\mathcal{H}_i (i = 1, 2, 3, 4, 5)$ is defined as follows

$$\begin{aligned} (\mathcal{H}_1, -\mathcal{H}_2, \mathcal{H}_3, \mathcal{H}_4, \mathcal{H}_5) \\ = \left(-H_1, H_2, \gamma H_3, -\frac{1}{\tau} H_4, -\tau^2 H_5 \right). \end{aligned} \quad (24)$$

Let $\alpha \in \mathbb{N}^3$ be a multi-index with $|\alpha| \leq s$ with $s \geq 3$. We differentiate this equation with ∂_x^α to get

$$\begin{aligned} \partial_t \partial_x^\alpha V^\tau + (D_0^\tau)^{-1} \sum_{j=1}^3 A_j(V^\tau) \partial_{x_j} \partial_x^\alpha V^\tau \\ = (D_0^\tau)^{-1} \sum_{i=1}^6 \partial_x^\alpha \mathcal{H}_i, \end{aligned} \quad (25)$$

where

$$\mathcal{H}_6 = \sum_{j=1}^3 (A_j(V^\tau) \partial_{x_j} \partial_x^\alpha V^\tau - \partial_x^\alpha (A_j(V^\tau) \partial_{x_j} V^\tau)).$$

We now introduce the energy

$$\mathbb{E}(t) = (A_0(N^\tau) V^\tau, V^\tau),$$

where

$$(u, v) = \int_{\mathcal{T}^3} u \cdot v \, dx, \quad \|u\| = \left(\int_{\mathcal{T}^3} |u|^2 \, dx \right)^{\frac{1}{2}}.$$

Since matrices $A_0(N^\tau)$ and $A_0(N^\tau) A_j(V^\tau) (j = 1, 2, 3)$ are symmetric, we take the L^2 inner product of equation (25) with $A_0(N^\tau) \partial_x^\alpha V^\tau$, one gets

$$\begin{aligned} \frac{d}{dt} \mathbb{E}(t) &= 2(D_0^\tau)^{-1} \sum_{i=1}^6 (A_0(N^\tau) \partial_x^\alpha V^\tau, \partial_x^\alpha \mathcal{H}_i) \\ &\quad + 2(\mathcal{Z} \partial_x^\alpha V^\tau, \partial_x^\alpha V^\tau) \\ &= \sum_{i=1}^7 \mathcal{Q}_i^\alpha, \end{aligned} \quad (26)$$

where

$$\mathcal{Z} = \partial_t A_0(N^\tau) + (D_0^\tau)^{-1} \sum_{j=1}^3 \partial_{x_j} (A_0(N^\tau) A_j(V^\tau)).$$

Note that for any $\omega \in \mathbb{R}^{6 \times 1}$, $(\omega, \Phi \omega) = 0$, where

$$\Phi = \begin{pmatrix} 0 & C_j \\ C_j^T & 0 \end{pmatrix}$$

is a skew matrix. By a direct calculation, we get

$$\begin{aligned} \mathcal{Q}_1^\alpha &= -\frac{2}{\tau} \int_{\mathcal{T}^3} h'(n^\tau) \partial_x^\alpha N^\tau \partial_x^\alpha \mathcal{F}_1 \, dx \\ &\quad - \frac{2}{\tau} \int_{\mathcal{T}^3} (n^\tau) \partial_x^\alpha U^\tau \cdot \partial_x^\alpha ((U^\tau \cdot \nabla) u_\tau) \, dx \\ &\quad - \frac{2}{\tau} \int_{\mathcal{T}^3} n^\tau \partial_x^\alpha U^\tau \cdot \partial_x^\alpha (\mathcal{F}_2 \nabla n_\tau) \, dx, \end{aligned} \quad (27)$$

$$\mathcal{Q}_2^\alpha = -\frac{2}{\tau} \int_{\mathcal{T}^3} n^\tau \partial_x^\alpha U^\tau \cdot \partial_x^\alpha F^\tau \, dx, \quad (28)$$

$$\begin{aligned} \mathcal{Q}_3^\alpha &= -\frac{2}{\tau} \int_{\mathcal{T}^3} n^\tau \partial_x^\alpha U^\tau \cdot \partial_x^\alpha ((U^\tau + u_\tau) \times G^\tau) \, dx \\ &\quad + \frac{2}{\tau} \int_{\mathcal{T}^3} \partial_x^\alpha n^\tau U^\tau + N^\tau u_\tau \cdot \partial_x^\alpha F^\tau \, dx, \end{aligned} \quad (29)$$

$$\mathcal{Q}_4^\alpha = -\frac{2}{\tau^2} \int_{\mathcal{T}^3} n^\tau |\partial_x^\alpha U^\tau|^2 \, dx, \quad (30)$$

$$\mathcal{Q}_5^\alpha = -\tau \int_{\mathcal{T}^3} n^\tau \partial_x^\alpha U^\tau \cdot \partial_x^\alpha \mathcal{S} \, dx, \quad (31)$$

$$\begin{aligned} \mathcal{Q}_6^\alpha &= \frac{2}{\tau} \int_{\mathcal{T}^3} h'(n^\tau) \partial_x^\alpha N^\tau \mathcal{F}_3 \, dx \\ &\quad + \frac{2}{\tau} \int_{\mathcal{T}^3} n^\tau \partial_x^\alpha U^\tau \mathcal{F}_4 \, dx, \end{aligned} \quad (32)$$

$$\begin{aligned} \mathcal{Q}_7^\alpha &= 2 \int_{\mathcal{T}^3} \left(\partial_t h'(n^\tau) + \frac{1}{\tau} u^\tau \cdot \nabla h'(n^\tau) \right. \\ &\quad \left. + \frac{1}{\tau} h'(n^\tau) \operatorname{div} u^\tau |\partial_x^\alpha N^\tau|^2 \right) \, dx \\ &\quad + 2 \int_{\mathcal{T}^3} \left(\partial_t n^\tau + \frac{1}{\tau} \operatorname{div} (n^\tau u^\tau) \right) |\partial_x^\alpha U^\tau|^2 \, dx \\ &= \frac{2}{\tau} \int_{\mathcal{T}^3} \mathcal{F}_5 |\partial_x^\alpha N^\tau|^2 \, dx, \end{aligned} \quad (33)$$

where

$$\begin{aligned} \mathcal{F}_1 &= U^\tau \cdot \nabla n_\tau + N^\tau \operatorname{div} u_\tau, \\ \mathcal{F}_2 &= h'(n^\tau) - h'(n_\tau), \\ \mathcal{F}_3 &= u^\tau \nabla \partial_x^\alpha N^\tau - \partial_x^\alpha (u^\tau \nabla N^\tau) \\ &\quad + n^\tau \operatorname{div} \partial_x^\alpha U^\tau - \partial_x^\alpha (n^\tau \operatorname{div} U^\tau), \\ \mathcal{F}_4 &= h'(n^\tau) \nabla \partial_x^\alpha N^\tau - \partial_x^\alpha (h'(n^\tau) \nabla N^\tau) \\ &\quad + (u^\tau \cdot \nabla) \partial_x^\alpha U^\tau - \partial_x^\alpha ((u^\tau \cdot \nabla) U^\tau), \\ \mathcal{F}_5 &= (h'(n^\tau) - h''(n^\tau) n^\tau) \operatorname{div} u^\tau. \end{aligned}$$

Let

$$\mathcal{D} = \mathcal{D}(t) = \frac{1}{\tau} \|V(\cdot, t)^\tau\|_s.$$

For $s > s_0 \geq 3$, we use equation (16) and the well-known embedding inequality to obtain

$$\begin{aligned} |\operatorname{div}(U^\tau + u_\tau)| &\leq C \|\operatorname{div} u_\tau\|_{s_0} + C \|\operatorname{div} U^\tau\|_{s_0} \\ &\leq C\tau(1 + \mathcal{D}). \end{aligned} \quad (34)$$

Now, we begin to estimate each $\mathcal{Q}_i^\alpha (i = 1, \dots, 7)$.

For the $\mathcal{Q}_i^\alpha (i = 1, 2, 3, 5)$ term, we use Cauchy-Schwartz's inequality and Sobolev's lemma to obtain

$$\mathcal{Q}_1^\alpha \leq C(1 + \mathcal{D}^s) \|(N^\tau, U^\tau)\|_{|\alpha|}^2 + \frac{\|U\|_s^2}{2\widetilde{C}_0\tau^2}, \quad (35)$$

$$\mathcal{Q}_2^\alpha \leq C(1 + \mathcal{D}^{2s}) \|F^\tau\|_{|\alpha|}^2 + \frac{\|U\|_s^2}{2\widetilde{C}_0\tau^2}, \quad (36)$$

$$\mathcal{Q}_3^\alpha \leq C(1 + \mathcal{D}^{2s}) \|(F^\tau, G^\tau)\|_{|\alpha|}^2 + \frac{\|U\|_s^2}{2\widetilde{C}_0\tau^2}, \quad (37)$$

$$\mathcal{Q}_5^\alpha \leq C(1 + \mathcal{D}^{2s}) \|U^\tau\|_{|\alpha|}^2 + \frac{\|U\|_s^2}{2\widetilde{C}_0\tau^2} + C\tau^4. \quad (38)$$

For \mathcal{Q}_4^α , noting that $N^\tau + n_\tau \geq \widetilde{C}_0 > 0$ for $0 < \tau \leq \tau_0 \ll 1$, we have

$$\mathcal{Q}_4^\alpha \leq -\frac{2\|U\|_s^2}{\widetilde{C}_0\tau^2}. \quad (39)$$

Using the Moser-type calculus inequalities^{18,20}, we obtain the estimation of \mathcal{Q}_6^α

$$\mathcal{Q}_6^\alpha \leq C(1 + \mathcal{D}^{2s}) \|(N^\tau, U^\tau)\|_{|\alpha|}^2. \quad (40)$$

For \mathcal{Q}_7^α , we estimate it as follows

$$\begin{aligned} \mathcal{Q}_7^\alpha &\leq C \frac{1}{\tau} |h'(n^\tau) - h''(n^\tau)n| \|\operatorname{div} u^\tau\| \|N^\tau\|^2 \\ &\leq C(1 + \mathcal{D}^{2s}) \|N^\tau\|_{|\alpha|}^2. \end{aligned} \quad (41)$$

Substituting the estimates (35)-(41) into equality (26) yields

$$\frac{d}{dt} \mathbb{E}(t) \leq C(1 + \mathcal{D}^{2s}) \|(N^\tau, U^\tau, F^\tau, G^\tau)\|_{|\alpha|}^2 + C\tau^4 \quad (42)$$

Note that $C^{-1} \|\partial_x^\alpha V^\tau\|^2 \leq \mathbb{E}(t) \leq C \|\partial_x^\alpha V^\tau\|^2$. We integrate (42) in the interval $[0, T]$ to obtain

$$\begin{aligned} &\|\partial_x^\alpha V^\tau(T)\|^2 \\ &\leq C \int_0^T (1 + \mathcal{D}^{2s}) \|(N^\tau, U^\tau, F^\tau, G^\tau)\|_{|\alpha|}^2 dt \\ &\quad + CT\tau^4. \end{aligned} \quad (43)$$

Here we have used the fact that the initial data are in equilibrium. By summing this over all multi-indexes $|\alpha| \leq s$, we get

$$\begin{aligned} &\|V^\tau(T)\|_s^2 \\ &\leq C \int_0^T (1 + \mathcal{D}^{2s}) \|(N^\tau, U^\tau, F^\tau, G^\tau)\|_s^2 dt \\ &\quad + CT\tau^4. \end{aligned} \quad (44)$$

We apply Gronwall's lemma to (44) to get

$$\|V^\tau(T)\|_s^2 \leq CT\tau^4 \exp\left(\int_0^T (1 + \mathcal{D}^{2s}) dt\right). \quad (45)$$

Since $\|V^\tau\|_s = \tau\mathcal{D}$, it follows from (45) that

$$\|\mathcal{D}(T)\|_s^2 \leq CT\tau^2 \exp\left(\int_0^T (1 + \mathcal{D}^{2s}) dt\right) = \mathcal{W}(T). \quad (46)$$

It is easy to get

$$\mathcal{W}'(t) = C(1 + \mathcal{D}^{2s})\mathcal{W}(t) \leq C\mathcal{W}(t) + C\mathcal{W}^{s+1}(t).$$

Applying Gronwall inequality yields

$$\mathcal{W}(t) \leq \exp(CT)$$

for $t \in [0, T]$ if we choose τ so small that

$$\mathcal{W}(0) = CT\tau^2 < \exp(-CT).$$

Because of (46), there exists a constant C_0 , independent of τ , such that

$$\mathcal{W}(t) < C_0 \quad (47)$$

for any $t \in [0, T]$. Finally, the theorem is concluded from (45) with (47). This completes the proof of Theorem 1. \square

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